

## National Stock Exchange Of India Limited

#### **Department: SURVEILLANCE**

Download Ref No: NSE/SURV/45500 Date: August 27, 2020

Circular Ref. No: 549/2020

To All NSE Members

#### Sub: List of Securities moving to Stage I of Graded Surveillance Measure (GSM)

In continuation to Exchange circular(s) NSE/SURV/34262, NSE/SURV/42135, NSE/SURV/42790 and NSE/SURV/45051 dated February 23, 2017, September 13, 2019, November 29, 2019 and July 17, 2020 respectively, members are hereby requested to note that the following securities shall be moved to Stage I of GSM with effect from August 28, 2020.

Sr. No.	Symbol	Security Name	ISIN
1	OMKARCHEM	Omkar Speciality Chemicals Limited	INE474L01016
2	CALSOFT	California Software Company Limited	INE526B01014

Trading in the above mentioned securities shall be available with margin rate of 100% and price band of 5% or lower as applicable.

Members are requested to take adequate precaution while trading in the above securities. Please refer below Annexure for stage wise Surveillance actions as per GSM framework.

In case of any further queries, members are requested to contact on 022-26598129/8166.

#### For National Stock Exchange of India Limited

#### Sareesh Koroth Chief Manager Surveillance

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## National Stock Exchange Of India Limited

# **Department: SURVEILLANCE**

Download Ref No: NSE/SURV/45501 Date: August 27, 2020

Circular Ref. No: 550/2020

To All NSE Members

#### Sub: List of Securities moving to Stage II of Graded Surveillance Measure (GSM)

In continuation to Exchange circular(s) NSE/SURV/34262, NSE/SURV/42135, NSE/SURV/42790 and NSE/SURV/45051 dated February 23, 2017, September 13, 2019, November 29, 2019 and July 17, 2020 respectively, members are hereby requested to note that the following securities shall be moved to Stage II of GSM with effect from August 28, 2020.

Sr. No.	Symbol	Security Name	ISIN
1	GBGLOBAL	GB Global Limited	INE087J01028

Trading in the above mentioned securities shall be available in Trade for Trade with price band of 5% or lower as applicable and Additional Surveillance Deposit (ASD) of 50% of trade value to be deposited by buyers.

Members are requested to take adequate precaution while trading in the above securities, as the settlement shall be done on trade-to-trade basis and no netting off shall be allowed. Please refer below Annexure for stage wise Surveillance actions as per GSM framework.

In case of any further queries, members are requested to contact on 022-26598129/8166.

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## **Annexure:**

## Stage wise Surveillance actions as per GSM framework

Stage	Surveillance Actions	
I	Applicable margin rate shall be 100% and price band of 5% or lower as applicable.	
II	Trade for Trade with price band of 5% or lower as applicable and Additional Surveillance Deposit (ASD) of 50% of trade value to be deposited by buyers.	
III	Trade for Trade with price band of 5% or lower as applicable and Trading permitted once a week (Every Monday / 1 <sup>st</sup> trading day of the week) and ASD (100% of trade value) to be deposited by the buyers.	
IV	Trade for Trade with price band of 5% or lower as applicable and Trading permitted once a week (Every Monday / 1 <sup>st</sup> trading day of the week) and ASD (100% of trade value) to be deposited by the buyers with no upward movement	

# Annexure A

Sr. No.	ISIN No	Security Name	Symbol	Moved to GSM Stage
1	INE474L01016	Omkar Speciality Chemicals Limited	OMKARCHEM	1
2	INE526B01014	California Software Company Limited	CALSOFT	1
3	INE087J01028	GB Global Limited	GBGLOBAL	2